

## THE EXT-ALGEBRA OF A GOLOD RING

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Dedicated to Jan-Erik Roos on his 50-th birthday

**Editors note.** This article was written nine years ago, but, regretfully, appeared only as two preprints (1976-3 and 1976-6 from University of Stockholm). The presentation is direct and self-contained. The proofs highly depend on computations in the Ext-algebra. There are other, perhaps more elegant, proofs today, but this paper gives a good insight in the theory of Golod rings. Some of the results are used by Löfwall in his contribution to this volume.

### Introduction

In the following  $(R, \mathfrak{m})$  denotes a local noetherian ring with maximal ideal  $\mathfrak{m}$  and residue field  $\mathbf{k}$ . In [9] the Ext-algebra of a local complete intersection is determined. The purpose of this paper is to attack the same problem for Golod rings. It has been shown by Levin (cf. [3, p. 186]) that the Ext-algebra of a Golod ring is finitely generated. We show that it is even finitely *presented*, and, if  $R = S/\Omega$ , where  $(S, \mathfrak{p})$  is regular and  $\mathfrak{p}^{2r-3} \subset \Omega \subset \mathfrak{p}^r$  for some  $r \geq 3$ , then the relations can be fairly well understood. In the special case  $R = S/\mathfrak{p}^r$ , where  $(S, \mathfrak{p})$  is regular, we calculate the Ext-algebra exactly and present the result in terms of Lie algebras. In this case the Ext-algebra is generated by its 1- and 2-dimensional elements.

### Notations and conventions

We shall use the following symbols, definitions and conventions.

- (1) If  $x$  is an object assigned some degree, then  $|x|$  denotes this degree. In expressions for signs we even drop  $|\cdot|$  so we write  $(-1)^{a \cdot b}$  instead of  $(-1)^{|a| \cdot |b|}$ .
- (2) If  $A$  is a graded algebra over some ring, then the commutator  $[\cdot, \cdot]$  is defined by  $[a, b] = ab - (-1)^{a \cdot b} ba$ . When we study commutators within an indexed subset  $\{a_i\}$  of an algebra we put  $[a_i, a_j] = a_i^2$  (instead of  $2a_i^2$ ) if  $a_i$  is of odd degree.
- (3) The sign  $-1$  in a diagram means that the diagram is anti-commutative.
- (4) If  $X$  is a graded module over a ring, then  $TX$  denotes the tensor algebra of

$X$  over this ring. If  $\{x_\alpha\}$  is a set of elements given some degrees, then  $\mathbf{k}\langle\{x_\alpha\}\rangle$  is the free non-commutative algebra over  $\mathbf{k}$  on the  $x_\alpha$ 's; i.e., the tensor algebra of the graded vector space with  $\{x_\alpha\}$  as a basis. By  $\mathbf{k}[\{x_\alpha\}]$  we mean the free strictly commutative algebra on the  $x_\alpha$ 's.

(5) If  $X, Y$  are complexes over a ring  $A$ , then

$$\text{Hom}_A(X, Y) = \bigoplus_n \prod_{i-j=n} \text{Hom}_A(X_i, Y_j)$$

is a complex with differential as in [5, VI 7.6].

(6) Let  $P \xrightarrow{\varepsilon} \mathbf{k}$  be a projective resolution of  $\mathbf{k}$ . Then

$$HHom_R(1, \varepsilon): HHom_R(P, P) \xrightarrow{\cong} HHom_R(P, \mathbf{k}) = \text{Ext}_R(\mathbf{k}, \mathbf{k})$$

and the product in  $\text{Ext}_R(\mathbf{k}, \mathbf{k})$  is induced by the composite  $\circ$  in  $\text{Hom}_R(P, P)$  under this isomorphism (cf. [5]).

### 1. The minimal resolution of $\mathbf{k}$ for a Golod ring

Let  $(R, \mathfrak{m})$  be a local ring and let  $K$  be the Koszul complex on a minimal set of generators  $x_1, \dots, x_n$  of  $\mathfrak{m}$ . Thus we have a basis  $T_1, \dots, T_n$  of  $K_1$  such that  $dT_i = x_i$ . Let  $|H_i K| = c_{i+1}$   $1 \leq i \leq n$ . We put  $c = c_2 + \dots + c_{n+1}$ . Choose a set of cycles  $z_1, \dots, z_c$  in  $\tilde{K}$  inducing a basis of  $\tilde{H}K$ . Such a set will be called a minimal set of cycles. We may assume that  $|z_i| < |z_j|$  implies that  $i < j$ .

**Definition.** We say that  $R$  is a Golod ring if the set  $\{z_i\}_{1 \leq i \leq c}$  allows Massey operations which are everywhere defined; i.e., if for every finite sequence  $y_1, \dots, y_t$ , where  $y_j = z_{i_j}$ , there is an element  $\gamma(y_1, \dots, y_t) \in K$  of degree  $|y_1| + \dots + |y_t| + t - 1$  such that

(a)  $\gamma(z_i) = z_i$ ,

(b)  $d\gamma(y_1, \dots, y_t) = \sum_{1 \leq i \leq t-1} (-1)^{y_1 + \dots + y_i + i} \gamma(y_1, \dots, y_i) \cdot \gamma(y_{i+1}, \dots, y_t)$ .

Note that by induction we get  $\gamma(y_1, \dots, y_t) \in \mathfrak{m}K$ .

**Remark.** It may be shown (see e.g. [8]) that the above definition is independent of the choice of the  $z_i$ 's.

Now suppose that  $R$  is a Golod ring and that the  $z_j$ 's and  $\gamma$  are as above. Let  $V$  be a graded free  $R$ -module with  $|V_i| = |H_{i-1}K| = c_i$ ,  $2 \leq i \leq n+1$ . Choose a basis  $u_1, \dots, u_c$  of  $V$  corresponding to the  $z_j$ 's ( $|u_j| = |z_j| + 1$ ) and define an  $R$ -linear map  $\beta: TV \rightarrow \mathfrak{m}K \subset K$  by  $(w_j = u_{i_j}, y_j = z_{i_j})$ .

$$\beta(w_1 \otimes \dots \otimes w_t) = \gamma(y_1, \dots, y_t).$$

In particular  $\beta(u_i) = z_i$  and  $\beta$  has degree  $+1$ . If  $v_1, \dots, v_t$  are basis elements of  $V$ , then

$$\begin{aligned} d\beta(v_1 \otimes \dots \otimes v_t) &= d\gamma(y_1, \dots, y_t) \\ &= \sum_{1 \leq i \leq t-1} (-1)^{y_1 + \dots + y_i + i} \gamma(y_1, \dots, y_i) \cdot \gamma(y_{i+1}, \dots, y_t) \\ &= \sum_{1 \leq i \leq t-1} (-1)^{v_1 + \dots + v_i} \beta(v_1 \otimes \dots \otimes v_i) \cdot \beta(v_{i+1} \otimes \dots \otimes v_t) \end{aligned}$$

and by linear extension the same formula is true for any  $v_1, \dots, v_t \in V$ .

Now define  $d: K \otimes_R TV \rightarrow K \otimes_R TV$  by

$$\begin{aligned} d(\lambda \otimes v_1 \otimes \dots \otimes v_t) &= d\lambda \otimes v_1 \otimes \dots \otimes v_t \\ &\quad + (-1)^\lambda \lambda \otimes \sum_{1 \leq i \leq t} \beta(v_1 \otimes \dots \otimes v_i) \otimes v_{i+1} \otimes \dots \otimes v_t \end{aligned}$$

where  $\lambda \in K$  and  $v_j \in V$ . Note that  $d(K \otimes TV) \subset m(K \otimes TV)$  and that  $K_0 = R$ ,  $(TV)_0 = R$  give natural imbeddings of  $V$ ,  $TV$ ,  $K$  in  $K \otimes TV$ . With these imbeddings we have  $d|_V = \beta$  and  $d|_K = d_K$ . Now

$$\begin{aligned} d^2(v_1 \otimes \dots \otimes v_t) &= d \sum_{1 \leq i \leq t} \beta(v_1 \otimes \dots \otimes v_i) \otimes v_{i+1} \otimes \dots \otimes v_t \\ &= \sum_{1 \leq i \leq t} d\beta(v_1 \otimes \dots \otimes v_i) \otimes v_{i+1} \otimes \dots \otimes v_t \\ &\quad + \sum_{1 \leq i < j \leq t} (-1)^{v_1 + \dots + v_i - 1} \beta(v_1 \otimes \dots \otimes v_i) \cdot \beta(v_{i+1} \otimes \dots \otimes v_j) \otimes v_{j+1} \otimes \dots \otimes v_t \\ &= \left( \sum_{1 \leq i \leq t-1} d\beta(v_1 \otimes \dots \otimes v_i) \otimes v_{i+1} \otimes \dots \otimes v_{t-1} \right. \\ &\quad \left. + \sum_{1 \leq i < j \leq t-1} (-1)^{v_1 + \dots + v_i - 1} \beta(v_1 \otimes \dots \otimes v_i) \right. \\ &\quad \left. \cdot \beta(v_{i+1} \otimes \dots \otimes v_j) \otimes v_{j+1} \otimes \dots \otimes v_{t-1} \right) \otimes v_t + d\beta(v_1 \otimes \dots \otimes v_t) \\ &\quad + \sum_{1 \leq i \leq t-1} (-1)^{v_1 + \dots + v_i - 1} \beta(v_1 \otimes \dots \otimes v_i) \cdot \beta(v_{i+1} \otimes \dots \otimes v_t). \end{aligned}$$

The sum of the last two terms is zero and hence

$$d^2(v_1 \otimes \dots \otimes v_t) = d^2(v_1 \otimes \dots \otimes v_{t-1}) \otimes v_t = \dots = d^2 v_1 \otimes v_2 \otimes \dots \otimes v_t = 0.$$

Thus  $d^2|_{TV} = 0$ . Let  $\lambda \in K$ ,  $w \in TV$ . Then  $d(\lambda \otimes w) = d\lambda \otimes w + (-1)^\lambda \lambda \otimes dw$ . It follows that

$$d^2(\lambda \otimes w) = d^2\lambda \otimes w + (-1)^{\lambda-1} d\lambda \otimes dw + (-1)^\lambda d\lambda \otimes dw + \lambda \otimes d^2w = 0.$$

We have established that  $K \otimes TV$  is a complex.

Finally we show (cf. [2]):

**Theorem 1.** *With the above definition of  $d$ ,  $K \otimes TV$  is a minimal resolution of  $\mathbf{k}$ . In particular the Poincaré series  $P_R(z) = (1+z)^n / (1 - c_2 z^2 - \dots - c_{n+1} z^{n+1})$ .*

**Proof.** All that remains to prove is that  $H_i(K \otimes TV) = 0$  for  $i > 0$  and  $H_0(K \otimes TV) = \mathbf{k}$ . Let  $F_p TV = \bigoplus_{i \leq p} V_i \otimes \dots \otimes V_i$  and let  $F_p(K \otimes TV) = K \otimes F_p TV$ . Then  $dF_p(K \otimes TV) \subset F_p(K \otimes TV)$ ; i.e.,  $K \otimes TV$  is a regularly filtered complex and  $E^0(K \otimes TV) = K \otimes TV$  with  $d^0(\lambda \otimes w) = d\lambda \otimes w$  so that  $E^1(K \otimes TV) = HK \otimes TV$ . Now  $d^1$  is given by

$$d^1(\{\lambda\} \otimes v_1 \otimes \dots \otimes v_p) = \{(-1)^\lambda \lambda \cdot \beta(v_1)\} \otimes v_2 \otimes \dots \otimes v_p.$$

In particular  $d^1(\{1\} \otimes v_1 \otimes \dots \otimes v_p) = \{\beta(v_1)\} \otimes v_2 \otimes \dots \otimes v_p$ .

However,  $V \xrightarrow{\beta} ZK \rightarrow \tilde{H}K$  maps an  $R$ -basis of  $V$  to a  $\mathbf{k}$ -basis of  $\tilde{H}K$ . It follows that

$$\begin{aligned} ZE^1(K \otimes TV) &= (\tilde{H}K \otimes TV) \oplus H_0K, \\ BE^1(K \otimes TV) &= d^1(H_0K \otimes TV) = \tilde{H}K \otimes TV, \end{aligned}$$

and hence that  $E^2(K \otimes TV) = H_0K = \mathbf{k}$ , which completes the proof.  $\square$

## 2. Examples of Golod rings

Let  $(S, \mathfrak{p})$  be a regular local ring. Basically the only known examples of Golod rings are:

- (1)  $R = S/\mathfrak{p}^r$  (cf. [2] or [3] for the equicharacteristic case),
- (2)  $R = S/x \cdot \Omega$ , where  $\Omega \subset \mathfrak{p}$  is an ideal and  $x \in \mathfrak{p}$  (cf. [8]).

Obviously a ring is a Golod ring if there is a minimal set of cycles  $z_1, \dots, z_c$  such that  $z_i \cdot z_j = 0$  for any  $i, j$ . This is the case in the two examples above. We are going to discuss case (1) in some detail and also exhibit a minimal set of cycles for  $\tilde{K}$ . Let  $L$  be the Koszul complex over  $S$  on a minimal set of generators  $y_1, \dots, y_n$  of  $\mathfrak{p}$ . We may assume that  $r \geq 2$ . Put  $x_i = \bar{y}_i \in \mathfrak{m} = \mathfrak{p}/\mathfrak{p}^r$ . Then  $x_1, \dots, x_n$  is a minimal set of generators of  $\mathfrak{m}$  and we may take  $K = L \otimes_R S = L/\mathfrak{p}^r L$  as the Koszul complex of  $R$ .

**Lemma 1.** *The mapping  $d: \mathfrak{p}^{s-1} L_{i+1} \rightarrow B_i L \cap \mathfrak{p}^s L_i$  is an epimorphism for  $i \geq 0, s \geq 1$  and  $B_i L \cap \mathfrak{p}^s L_i = Z_i \mathfrak{p}^s L$  for  $i \geq 1$ .*

**Proof.** Let  $a \in B_i L \cap \mathfrak{p}^s L_i$ . Then  $a = db$  for some  $b \in L_{i+1}$ . We have  $b = x + y$ , where we write  $x, y$  as linear combinations of the natural basis elements of  $L_{i+1}$ . For  $x$  these coefficients are polynomials of degree  $\leq s - 2$  in the  $y_j$ 's and the coefficients of these polynomials lie in  $S - \mathfrak{p}$ . For  $y$  the coefficients are in  $\mathfrak{p}^{s-1}$ . Thus  $a = dy \in \mathfrak{p}^s L_i$  so that  $dx \in \mathfrak{p}^s L_i$ . Now  $dx$  is a linear combination of the natural basis elements of  $L_i$  and the coefficients are polynomials of degree  $\leq s - 1$  in the  $y_j$ 's. The coefficients of these polynomials lie in  $S - \mathfrak{p}$ . However, since  $S$  is regular  $\bigoplus_{i \geq 0} \mathfrak{p}^i / \mathfrak{p}^{i+1} = \mathbf{k}[Y_1, \dots, Y_n]$  the polynomial ring over  $\mathbf{k}$  on the  $Y_j$ 's, where  $Y_j$  is the

image of  $y_j$  in  $\mathfrak{p}/\mathfrak{p}^2$ . It follows that  $dx=0$  and hence that  $d: \mathfrak{p}^{s-1}L \rightarrow B_iL \cap \mathfrak{p}^sL_i$  is an epimorphism. Furthermore, if  $i \geq 1$ , then  $H_iL=0$  so that  $Z_i\mathfrak{p}^sL = Z_iL \cap \mathfrak{p}^sL_i = B_iL \cap \mathfrak{p}^sL$ .  $\square$

**Lemma 2.** We have  $Z_iK = B_iK + \mathfrak{m}^{r-1}K_i$  for  $i \geq 1$ . In particular we may choose a minimal set of cycles in  $\mathfrak{m}^{r-1}K$  and hence  $R$  is a Golod ring.

**Proof.** Let  $\bar{x} \in Z_iK$ , where  $x \in L_i$ . Then  $dx \in \mathfrak{p}^rL_{i-1}$ . Thus, according to Lemma 1,  $dx=dy$  for some  $y \in \mathfrak{p}^{r-1}L_i$ . It follows that  $x-y \in Z_iL = B_iL$  and hence  $\bar{x} = \bar{x}-\bar{y} + \bar{y}$ , where  $\bar{x}-\bar{y} \in B_iK$  and  $\bar{y} \in \mathfrak{m}^{r-1}K_i$ .  $\square$

**Definition.** Let  $1 \leq i \leq n$  and  $r \leq 2$ . Then we put

$$c_{i,r,n} = |H_iK| \quad (\dim_k(\mathfrak{p}/\mathfrak{p}^2) = n, R = S/\mathfrak{p}^r),$$

$$d_{i,r,n} = \binom{r+i-2}{r-1} \binom{r+n-1}{r+i-1},$$

$$e_{i,r,n} = \binom{r+i-2}{r-1} \binom{i-1}{i-1} + \binom{r+i-1}{r-1} \binom{i}{i-1} + \dots + \binom{r+n-2}{r-1} \binom{n-1}{i-1}.$$

For the following result, cf. [2].

**Lemma 3.** We have  $c_{i,r,n} = d_{i,r,n} = e_{i,r,n}$ .

**Proof.** Consider the exact sequence

$$0 \rightarrow \mathfrak{p}^rL \rightarrow L \rightarrow K \rightarrow 0$$

of complexes over  $S$ . Since  $H_iL=0$  for  $i \neq 0$  and  $H_0L \xrightarrow{\cong} H_0K$ , the corresponding long exact sequence shows that  $H_iK \cong H_{i-1}\mathfrak{p}^rL$  for  $i \geq 1$ . We also have an exact sequence

$$0 \rightarrow \mathfrak{p}^rL \rightarrow \mathfrak{p}^{r-1}L \rightarrow \mathfrak{p}^{r-1}L/\mathfrak{p}^rL \rightarrow 0. \tag{1}$$

Note that the differential of  $\mathfrak{p}^{r-1}L/\mathfrak{p}^rL$  is zero. Now  $d: \mathfrak{p}^{r-1}L_i \rightarrow Z_{i-1}\mathfrak{p}^rL$  is an epimorphism for  $i \geq 2$  and hence so is  $\delta: \mathfrak{p}^{r-1}L_i/\mathfrak{p}^rL_i \rightarrow H_{i-1}\mathfrak{p}^rL$  in the long exact sequence of (1). In the same sequence  $H_0\mathfrak{p}^{r-1}L \xrightarrow{\cong} \mathfrak{p}^{r-1}/\mathfrak{p}^r$ . It follows that

$$0 \rightarrow H_i\mathfrak{p}^{r-1}L \rightarrow \mathfrak{p}^{r-1}L_i/\mathfrak{p}^rL_i \rightarrow H_{i-1}\mathfrak{p}^rL \rightarrow 0$$

is exact for  $i \geq 1$ . Thus

$$c_{i,r,n} + c_{i+1,r-1,n} = |\mathfrak{p}^{r-1}L_i/\mathfrak{p}^rL_i| = \binom{r+n-2}{n-1} \binom{n}{i}$$

for  $1 \leq i \leq n-1, r \geq 3$ . On the other hand the same relation is true for  $d_{i,r,n}$  and

$$c_{1,r,n} = |H_0\mathfrak{p}^rL| = |\mathfrak{p}^r/\mathfrak{p}^{r+1}| = \binom{r+n-1}{n-1} = \binom{r+n-1}{r} = d_{1,r,n}$$

for  $1 \leq n, r \geq 2$ . By induction  $c_{i,r,n} = d_{i,r,n}$  for  $1 \leq i \leq n, r \geq 2$ . Now

$$e_{i,r,n+1} = e_{i,r,n} + \binom{r+n-1}{r-1} \binom{n}{i-1}$$

for  $1 \leq i \leq n, r \geq 2$  and the same formula is true for  $d_{i,r,n}$ . Furthermore,

$$e_{i,r,i} = \binom{r+i-2}{r-1} = d_{i,r,i}$$

for  $1 \leq i, r \geq 2$  and hence  $e_{i,r,n} = d_{i,r,n}$   $1 \leq i \leq n, r \geq 2$ .  $\square$

Let  $A_i$  be the set of subsequences  $a = (a_1, \dots, a_i)$  of  $(1, \dots, n)$  of length  $i$  and let  $Q$  be the set of sequences  $q = (q_1, \dots, q_n)$  of non-negative integers such that  $q_1 + \dots + q_n = r - 1$ . let  $C_i = \{(a, q) \in A_i \times Q \mid q_j = 0 \text{ for } j > a_i\}$ . For  $(a, q) \in A_i \times Q$  put  $x_{a,q} = x_1^{q_1} \dots x_n^{q_n} T_{a_1} \dots T_{a_i}$ .

**Lemma 4.** *The set  $\{x_{a,q}\}_{(a,q) \in C_i}$  is a minimal set of cycles in  $K_i$  for  $i \geq 1$ .*

**Proof.** Obviously  $x_{a,q} \in Z_i K$  for  $(a, q) \in C_i$ . Let  $(a_1, \dots, a_{i+1}) \in A_{i+1}$ . Then

$$d(T_{a_1} \dots T_{a_{i+1}}) = \sum_{1 \leq t \leq i+1} (-1)^{t-1} x_{a_t} T_{a_1} \dots \hat{T}_{a_t} \dots T_{a_{i+1}}$$

and hence

$$x_{a_{i+1}} T_{a_1} \dots T_{a_i} \equiv \sum_{1 \leq t \leq i} (-1)^t x_{a_t} T_{a_1} \dots \hat{T}_{a_t} \dots T_{a_{i+1}} \pmod{B_i K}$$

from which it easily follows that  $\{x_{a,q}\}_{(a,q) \in C_i}$  generates  $Z_i K \pmod{B_i K}$ . On the other hand the number of elements in this set is  $e_{i,r,n} = |H_i K|$  since

$$\binom{r+i-2+s}{r-1} \binom{i-1+s}{i-1} = \binom{r-1+i+s-1}{i+s-1} \binom{i-1+s}{i-1},$$

which is the number of  $x_{a,q}$ 's with  $a_i = i + s$ . We conclude that the set is a minimal set of cycles in  $K_i$ .  $\square$

### 3. The Ext-algebra of a Golod ring

We keep the notations of Section 1. In this section we want to prove the following result.

**Theorem 2.** *Let  $R$  be a Golod ring. Then*

$$\begin{aligned} \text{Ext}_R(\mathbf{k}, \mathbf{k}) &= \mathbf{k} \langle X_1, \dots, X_n, Y_1, \dots, Y_c \rangle / ([X_i, X_j] - \Phi_{i,j}, 1 \leq i \leq j \leq n, \\ & [X_i, Y_j] - \Psi_{i,j}, 1 \leq i \leq n, 1 \leq j \leq c) \end{aligned}$$

where  $|X_i|=1, 1 \leq i \leq n$ , and  $|Y_j|=|z_j|+1$ . The  $\Phi_{i,j}$ 's and  $\Psi_{i,j}$ 's are polynomials in the  $Y_i$ 's. In particular the Ext-algebra of a Golod ring is finitely presented.

The proof of this theorem will be contained in the following lemmas. Let  $P=K \otimes TV$ . Note that  $P$  has the structure of a differential graded module over the differential graded algebra  $K$ .

**Lemma 5.** (a) Let  $f \in \text{Hom}_R(K_1, R)$ . Then there is an  $F \in Z^1 \text{Hom}_R(P, P)$  such that  $F|_{K_1}=f$  and  $F(\lambda x)=F(\lambda) \cdot x + (-1)^\lambda \lambda F(x)$  for  $\lambda \in K, x \in P$ .

(b) Let  $g \in \text{Hom}_R(V, R)$ . Define  $G \in \text{Hom}_R(P, P)$  by

$$G(\lambda \otimes y_1 \otimes \dots \otimes y_t) = (-1)^{y_t \cdot (\lambda + y_1 + \dots + y_{t-1})} g(y_t) \lambda \otimes y_1 \otimes \dots \otimes y_{t-1}$$

for  $\lambda \in K, y_j \in V$  (in particular  $G|_K=0$ ). Then  $G \in Z^{|G|} \text{Hom}_R(P, P)$ ,  $G|_V=g$  and  $G(\lambda x) = (-1)^{\lambda \cdot G} G(x)$  for  $\lambda \in K, x \in P$ .

**Proof.** (b) By direct checking.

(a) Define  $F$  on  $K$  by putting

$$F(T_{a_1} \dots T_{a_t}) = \sum_{1 \leq j \leq t} (-1)^{j-1} f(T_{a_j}) T_{a_1} \dots \hat{T}_{a_j} \dots T_{a_t}.$$

Next define  $F_s: P_s \rightarrow P_{s-1}$  by induction on  $s$  as follows. We already have defined  $F_1$ . Let  $s \geq 2$  and suppose that  $F_i, 1 \leq i \leq s-1$ , has been defined satisfying the requirements. Now choose  $F_s: (TV)_s \rightarrow P_{s-1}$  such that

$$\begin{array}{ccc} (TV)_s & \xrightarrow{d} & P_{s-1} \\ F_s \downarrow & -1 & \downarrow F_{s-1} \\ P_{s-1} & \xrightarrow{d} & P_{s-2} \end{array}$$

which is possible since  $dF_{s-1}d = -F_{s-2}dd = 0$  and  $(TV)_s$  is free over  $R$ . Then define  $F_s: K_i \otimes (TV)_{s-i} \rightarrow P_{s-1}$  for  $i \geq 1$  by  $F_s(\lambda \otimes x) = F(\lambda) \otimes x + (-1)^\lambda \lambda \otimes F(x)$  for  $\lambda \in K_i, x \in (TV)_{s-i}$ . Then, using the induction hypothesis and the fact that the differential on  $P$  satisfies  $d(\mu y) = (d\mu)y + (-1)^\mu \mu dy$  for  $\mu \in K, y \in P$ , we get  $dF_s(\lambda \otimes x) = F_{s-1}d(\lambda \otimes x)$  so that we may continue the induction to get an  $F \in Z^1 \text{Hom}_R(P, P)$  with  $F|_{K_1}=f$ . Note that if the inductive construction is made as above then  $F(\lambda x) = F(\lambda)x + (-1)^\lambda \lambda F(x)$  for  $\lambda \in K, x \in P$ . This completes the proof.  $\square$

**Definition.** Let  $f_i \in \text{Hom}_R(K_1, R), 1 \leq i \leq n$ , be given by  $f_i(T_j) = \delta_{i,j}$  and  $g_i \in \text{Hom}_R(V, R), 1 \leq i \leq c$ , by  $g_i(u_j) = \delta_{i,j}$ . Let  $F_i, G_j \in Z \text{Hom}_R(P, P)$  correspond to  $f_i, g_j$  as in Lemma 5. Then we put

$$X_i: P \xrightarrow{F_i} P \xrightarrow{\epsilon} \mathbf{k},$$

$$Y_j : P \xrightarrow{G_j} P \xrightarrow{\varepsilon} \mathbf{k}.$$

Thus  $X_i \in \text{Ext}_R^1(\mathbf{k}, \mathbf{k})$  and  $Y_j \in \text{Ext}_R^{|\sigma|+1}(\mathbf{k}, \mathbf{k})$ .

The following result should be compared with [3, p. 186].

**Lemma 6.** *The  $X_i$ 's and  $Y_j$ 's together generate  $\text{Ext}_R(\mathbf{k}, \mathbf{k})$ .*

**Proof.** Let  $A$  be the set of subsequences of  $(1, \dots, n)$  and let  $B$  be the set of finite sequences taking values in  $\{1, \dots, c\}$ . Order  $A$  by  $a = (a_1, \dots, a_s) < (a'_1, \dots, a'_t) = a'$  if  $s < t$  or if  $s = t$  and the last non-vanishing  $a_i - a'_i < 0$ . Order  $B$  in the same way and  $A \times B$  by  $(a, b) < (a', b')$  if  $b < b'$  or  $b = b'$  and  $a < a'$ . For  $a = (a_1, \dots, a_s) \in A$  we put

$$X^a = X_{a_1} \cdots X_{a_s}, \quad T^a = T_{a_1} \cdots T_{a_s} \quad (= 1 \text{ in both cases if } a \text{ is empty})$$

and for  $b = (b_1, \dots, b_s) \in B$  we put

$$Y^b = Y_{b_1} \cdots Y_{b_s}, \quad U^b = u_{b_1} \cdots u_{b_s} \quad (= 1 \text{ in both cases if } b \text{ is empty}).$$

Then  $\{T^a U^b\}_{(a,b) \in A \times B}$  is a well-ordered basis of  $P$ . As is easily checked

$$X^a Y^b (T^{a'} U^{b'}) = \begin{cases} \pm 1 & \text{if } (a, b) = (a', b'), \\ 0 & \text{if } (a, b) > (a', b'). \end{cases}$$

Thus  $\{X^a Y^b\}_{(a,b) \in A \times B}$  is expressed by a triangular matrix, with  $\pm 1$ 's in the diagonal, in the  $\mathbf{k}$ -basis of  $\text{Ext}_R(\mathbf{k}, \mathbf{k}) = \text{Hom}_R(P, \mathbf{k})$  dual to  $\{T^a U^b\}_{(a,b) \in A \times B}$  and hence  $\{X^a Y^b\}_{(a,b) \in A \times B}$  is itself a basis of  $\text{Ext}_R(\mathbf{k}, \mathbf{k})$ . In particular the  $X_i$ 's and  $Y_j$ 's generate  $\text{Ext}_R(\mathbf{k}, \mathbf{k})$  as an algebra.  $\square$

**Lemma 7.** *Let  $f \in \text{Hom}_R(K_1, \mathbf{k})$ ,  $g \in \text{Hom}_R(V_s, \mathbf{k}) \subset \text{Hom}_R(V, \mathbf{k})$ . Then  $[G, F]$  annihilates the elements of  $P_{s+1}$  which lie in  $K_i \otimes TV$  for  $i \geq 1$ ; i.e., everything but possibly  $(TV)_{s+1}$ , where it coincides with  $G \circ F$ .*

**Proof.** Let  $(TV)^t = \bigoplus V_i \otimes \cdots \otimes V_i$ . It follows from the definition of  $G$  that it annihilates everything in  $P_{s+1}$  but possibly  $K_1 \otimes V_s$  (note that  $V_1 = 0$ ). Hence the same is true for  $F \circ G$ . Let  $\lambda \otimes x = \lambda x \in P_{s+1}$  where  $\lambda \in K_i$ ,  $x \in (TV)^t$ . Then  $F(\lambda x) = F(\lambda)x + (-1)^\lambda \lambda F(x)$  and thus

$$G \circ F(\lambda x) = (-1)^{(\lambda-1) \cdot G} F(\lambda) \cdot G(x) + (-1)^{\lambda + \lambda \cdot G} \lambda \cdot (G \circ F)(x).$$

If  $i \geq 1$ , then  $|x| \leq s$  so  $(G \circ F)(x) = 0$ . If  $i \geq 1$  and  $t \geq 2$ , then  $G(x) = 0$  by the definition of  $G$ . If  $i \geq 2$ , then  $|x| < s$  whence  $G \circ F(\lambda x) = 0$ . Thus  $G \circ F(\lambda x) = 0$  but possibly when  $i \leq 1$  and  $t = 1$ ; i.e.,  $G \circ F$  annihilates everything but possibly  $K_1 \otimes V_s$  and  $(TV)_{s+1}$ . Let  $i = t = 1$ . Then  $G \circ F(\lambda x) = F(\lambda) \cdot G(x) = f(\lambda) \cdot g(x)$  whereas  $F \circ G(\lambda x) = F((-1)^\lambda \cdot G \lambda \cdot G(x)) = (-1)^{F \cdot G} f(\lambda) \cdot g(x)$ ; i.e.,  $[F, G](\lambda x) = 0$  and hence  $[F, G]$  is zero on everything in  $P_{s+1}$  but possibly  $(TV)_{s+1}$ .  $\square$



Now  $\text{Hom}_R(1, \varepsilon) : Z\text{Hom}_R(P, P) \rightarrow \text{Hom}_R(P, \mathbf{k}) = \text{Ext}_R(\mathbf{k}, \mathbf{k})$  is an epimorphism of algebras (cf. [9]). Thus, with the notations of Lemma 7,  $[\varepsilon \circ F, \varepsilon \circ G] = \varepsilon \circ [F, G]$  is zero on everything in  $P_{s+1}$  but  $(TV)_{s+1}$ . However, every element in  $\text{Ext}_R^{s+1}(\mathbf{k}, \mathbf{k})$  with this property is a polynomial in the  $Y_j$ 's since the polynomials in these variables of algebra-degree  $s+1$  must annihilate  $K_i \otimes (TV)_{s+1-i}$  for  $i \geq 1$ . Thus we get

**Lemma 8.** *The commutators  $[X_i, Y_j] = \Psi_{i,j}$  are polynomials in the  $Y_l$ 's.*

**Lemma 9.** *The commutators  $[X_i, X_j] = \Phi_{i,j}$  are linear combinations of  $Y_1, \dots, Y_{c_2}$ .*

**Proof.** It suffices to show that  $[F_i, F_j](K_2) = 0$  and this follows directly from the construction of the  $F_i$ 's on  $K$ .  $\square$

The Lemmas 6, 8 and 9 now show that there is an algebra epimorphism from the algebra  $\mathcal{A}$  on the right hand side of the formula in Theorem 2 to  $\text{Ext}_R(\mathbf{k}, \mathbf{k})$ . Thus to complete the proof of Theorem 2 it only remains to show that

$$H_{\mathcal{A}} \leq H_{\text{Ext}_R(\mathbf{k}, \mathbf{k})} = H_{\mathbf{k}\langle X_1, \dots, X_n \rangle} \cdot H_{\mathbf{k}\langle Y_1, \dots, Y_c \rangle}$$

where  $H_{\mathcal{A}} = H_{\mathcal{A}}(z) = \sum_{i \geq 0} \dim_{\mathbf{k}} \mathcal{A}_i z^i$  is the Hilbert series of  $\mathcal{A}$ , and  $\leq$  denotes coefficient-wise inequality. This is a consequence of the following lemma.

**Lemma 10.** *Let  $C$  be a connected graded algebra of finite type over a field  $k$  and and let  $A = \{a_i\}$ ,  $B = \{b_j\}$  be two finite sets of elements in  $C$  of positive degree such that  $A \cup B$  generates  $C$  as an algebra. Suppose that  $[a_i, a_j], [a_i, b_j]$  are polynomials in the  $b_j$ 's. Then  $H_C \leq H_{\mathbf{k}\langle A \rangle} \cdot H_{\mathbf{k}\langle B \rangle}$ .*

**Proof.** Let  $F_p C$  be the set of elements in  $C$  that may be written as a polynomial in the elements of  $A \cup B$  such that the polynomial degree is  $\leq p$  in the elements of  $A$ . Then the elements  $\bar{a}_i \in E_{1,+}^0 C$  and  $\bar{b}_j \in E_{0,+}^0 C$  generate  $E^0 C$  as an algebra and the  $\bar{a}_i$ 's strictly commute with each other and with the  $\bar{b}_j$ 's. Hence we get an epimorphism of algebras

$$\nu : \mathbf{k}\langle A \rangle \otimes \mathbf{k}\langle B \rangle \rightarrow E^0 C$$

where  $\nu(a_i) = \bar{a}_i$ ,  $\nu(b_j) = \bar{b}_j$ . It follows that  $H_C = H_{E^0 C} \leq H_{\mathbf{k}\langle A \rangle \otimes \mathbf{k}\langle B \rangle} = H_{\mathbf{k}\langle A \rangle} \cdot H_{\mathbf{k}\langle B \rangle}$ .  $\square$

#### 4. The Ext-algebra of $R = S/\mathfrak{p}^r$

We keep the notations of Section 2. For  $(a, q) \in C_i$  we let  $u_{a,q} \in V_{i+1}$  be the basis element corresponding to  $x_{a,q}$ , and we define  $g_{a,q} \in \text{Hom}_R(V, R)$  by  $g_{a,q}(u_{a',q'}) = \delta_{a',q'}^{a,q}$ . Let  $G_{a,q} \in \text{Hom}_R(P, P)$  correspond to  $g_{a,q}$  as in Lemma 5 and let  $Y_{a,q} = \varepsilon \circ G_{a,q}$ . Then according to Lemma 6 the  $X_j$ 's and  $Y_{a,q}$ 's generate  $\text{Ext}_R(\mathbf{k}, \mathbf{k})$ . For  $a = (a_1, \dots, a_i) \in A_i$  we put  $a' = (a_2, \dots, a_i)$ . We order  $A_i$  by  $a < b$  if the first non-

vanishing  $a_j - b_j < 0$  and  $Q$  in the same way. We order  $C_i$  by  $(a, q) < (b, t)$  if  $a < b$  or if  $a = b$  and  $q < t$ .

**Lemma 11.** *Let  $i \geq 2$  and let  $(a, q) \in C_i$ . Then*

$$[Y_{a',q}, X_{a_1}] = -Y_{a,q} + \text{a linear combination of } Y_{b,t} \text{'s of degree } i+1 \text{ and with } (a,q) > (b,t) + \text{a polynomial in the } Y_{b,t} \text{'s of degree less than } i+1.$$

**Proof.** It suffices to show that

$$[G_{a',q}, F_{a_1}]u_{b,t} = \begin{cases} -1 & \text{if } (a, q) = (b, t), \\ 0 & \text{if } (a, q) < (b, t). \end{cases}$$

Let  $F = F_{a_1}$ ,  $G = G_{a',q}$  and consider

$$\begin{array}{ccc} V_{i+1} & \xrightarrow{d} & K_i \\ \downarrow F & & \downarrow F \\ V_i + K_i & \xrightarrow{d} & K_{i-1} \end{array}$$

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We have

$$F(du_{b,t}) = Fx_{b,t} = F_{a_1}x_1^{t_1} \cdots x_{b_i}^{t_i} T_{b_1} \cdots T_{b_i} = \begin{cases} x_{b',t} & \text{if } a_1 = b_1, \\ 0 & \text{if } a_1 < b_1. \end{cases}$$

Thus we may choose

$$Fu_{b,t} = \begin{cases} -u_{b',t} & \text{if } a_1 = b_1, \\ 0 & \text{if } a_1 < b_1. \end{cases}$$

and hence

$$G \circ F(u_{b,t}) = \begin{cases} -1 & \text{if } (a, q) = (b, t), \\ 0 & \text{if } a_1 = b_1 \text{ and } (a, q) \neq (b, t), \\ 0 & \text{if } a_1 < b_1. \end{cases}$$

If  $(a, q) < (b, t)$ , then  $a_1 \leq b_1$  and consequently

$$[G, F]u_{b,t} = G \circ F(u_{b,t}) = \begin{cases} -1 & \text{if } (a, q) = (b, t), \\ 0 & \text{if } (a, b) < (b, t). \end{cases} \quad \square$$

**Theorem 3.** *Let  $R = S/p^r$ . Then  $\text{Ext}_R(\mathbf{k}, \mathbf{k})$  is generated by  $\text{Ext}_R^1(\mathbf{k}, \mathbf{k})$  and  $\text{Ext}_R^2(\mathbf{k}, \mathbf{k})$ .*

**Proof.** Using Lemma 11 and the usual argument with triangular matrices we see that the  $Y_i$ 's of degree  $i$ , where  $i \geq 3$ , may be written as polynomials in the  $X_j$ 's and the  $Y_j$ 's of degree  $\leq i - 1$ .

**Remark.** It is not always true for a Golod ring that the Ext-algebra is generated by

its 1- and 2-dimensional elements. An example is provided by the Shamash-type (cf. [8]) ring  $\mathbf{k}[[x, y]]/(x^2y, y^3)$ . Then

$$\begin{aligned} \text{Ext}_R(\mathbf{k}, \mathbf{k}) &= \mathbf{k}\langle X_1, X_2, Y_1, Y_2, Z \rangle / [X_i, X_j] = 0, \\ [X_i, Y_j] &= 0, [X_1, Z] = 0, [X_2, Z] = [Y_1, Y_2] \end{aligned}$$

where  $X_1, X_2$  have degree 1,  $Y_1, Y_2$  degree 2 and  $Z$  degree 3. It is easy to see that  $Z$  is not generated by elements of lower degree. Note also that this ring provides an example for which the Ext-algebra has non-empty centre and the ring is not a complete intersection.

**5. The  $Y_j$ 's are in the Lie algebra underlying  $\text{Ext}_R(\mathbf{k}, \mathbf{k})$**

In the following,  $E$  stands for  $\text{Ext}_R(\mathbf{k}, \mathbf{k})$  and  $\tilde{P}E$  for the underlying Lie algebra, cf. [9]. (*Editors note:* Today we often write  $\pi(R)$  for  $\tilde{P}E$ .)

Let  $\hat{T}V$  denote the shuffle algebra on  $V$ . Then, as in [1],  $\hat{T}V$  is a Hopf  $\Gamma$ -algebra and hence so is  $K \otimes \hat{T}V$ . The author is indebted to Clas Löfwall, who helped him to finish the proof of the following lemma.

**Lemma 12.** *It is possible to choose  $\beta: TV \rightarrow K$  (cf. Section 1) such that  $K \otimes \hat{T}V$  becomes a differential graded algebra with divided powers.*

**Proof.** See [4, Proposition 2.4].  $\square$

Let  $Y$  be the graded vector space generated by the  $Y_j$ 's and let  $LY$  be the free adjusted Lie algebra on  $Y$  (cf. [10]). Now  $I(K \otimes \hat{T}V \otimes \mathbf{k}) = D(K \otimes \hat{T}V \otimes \mathbf{k}) \oplus U$ , where  $V \otimes \mathbf{k} \subset U$ . It follows that  $Y \subset \tilde{P}E$  (cf. [9] or [10]). Thus there is a homomorphism  $LY \rightarrow \tilde{P}E$  in LIE, the category of connected adjusted Lie algebras. It follows easily from Theorem 2 that  $TY \rightarrow E$  is a monomorphism and hence so is  $LY \rightarrow \tilde{P}E$ . Let  $E^1$  be the trivial adjusted Lie algebra with  $\text{Ext}_R^1(\mathbf{k}, \mathbf{k})$  as underlying vector space. Then there is an epimorphism  $\tilde{P}E \rightarrow E^1$  in LIE and  $LY$  is the kernel of this map. Now  $\hat{H}_{\tilde{P}E} = H_E = H_{E^1} \cdot H_{TY} = \hat{H}_{E^1} \cdot \hat{H}_{LY} = \hat{H}_{E^1 \oplus LY}$  (see [10] for the definition of  $\hat{H}$ ) and hence  $H_{\tilde{P}E} = H_{E^1 \oplus LY}$ . It follows that

$$0 \rightarrow LY \rightarrow \tilde{P}E \rightarrow E^1 \rightarrow 0$$

is an exact sequence in LIE. Thus  $LY = \bigoplus_{n \geq 2} \tilde{P}_n E$  and it follows that the  $[X_i, X_j]$ 's and  $[X_i, Y_j]$ 's of Theorem 2 are in  $LY$ . (Of course, it was clear before that the  $[X_i, X_j]$ 's are even in  $Y$ .)

It also follows from Lemma 12 that  $\text{Tor}^R(\mathbf{k}, \mathbf{k}) = E(\text{Tor}_1^R(\mathbf{k}, \mathbf{k})) \otimes_{\mathbf{k}} T(s\tilde{H}K)$  as  $\Gamma$ -algebras. However, this is most easily seen by the fact that both sides are Hopf  $\Gamma$ -algebras, and thus free as  $\Gamma$ -algebras, and since they have the same Hilbert series these  $\Gamma$ -algebras must be isomorphic.

**6. Special Golod rings**

We say that  $R$  is a special Golod ring if there is a minimal set of cycles  $z_1, \dots, z_c$  in  $\tilde{K}$ , such that  $z_i \cdot z_j = 0$  for any  $i, j$ . Then

$$d(\lambda \otimes v_1 \otimes \dots \otimes v_t) = d\lambda \otimes v_1 \otimes \dots \otimes v_t + (-1)^\lambda \lambda \cdot \beta(v_1) \otimes v_2 \otimes \dots \otimes v_t$$

Note that in this case Lemma 12 is trivially true.

Let  $f \in \text{Hom}_R(K_1, R)$  and let  $F$  have the same meaning as in Lemma 5. We start by choosing  $V \xrightarrow{F} V + K$  such that

$$\begin{array}{ccc} V & \xrightarrow{d} & K \\ F \downarrow & -1 & \downarrow F \\ V + K & \xrightarrow{d} & K \end{array}$$

Then we may write  $F(v) = F'(v) + F''(v)$ , where  $F'(v) \in V$ ,  $F''(v) \in K$ . Define  $\bar{F}: K \otimes TV \rightarrow K \otimes TV$  by

$$\begin{aligned} \bar{F}(\lambda \otimes v_1 \otimes \dots \otimes v_t) &= F(\lambda) \otimes v_1 \otimes \dots \otimes v_t + (-1)^\lambda \lambda \cdot F''(v_1) \otimes v_2 \otimes \dots \otimes v_t \\ &\quad + (-1)^\lambda \lambda \otimes \sum_{1 \leq i \leq t} v_1 \otimes \dots \otimes v_{i-1} \otimes F'(v_i) \otimes v_{i+1} \otimes \dots \otimes v_t. \end{aligned}$$

By straightforward computation we get

$$(d\bar{F} + \bar{F}d)(v_1 \otimes \dots \otimes v_t) = (-1)^{v_1-1} (F''v_1 \cdot dv_2 + dv_1 \cdot F''v_2) \otimes v_3 \otimes \dots \otimes v_t.$$

Thus  $\bar{F}$  will do as  $F$  on  $TV$  and (therefore on  $K \otimes TV$  according to the proof of Lemma 5) if  $dV \cdot F''V = 0$ ; i.e., if (the minimal cycles)  $\cdot F''V = 0$ .

We want to establish this property for a class of Golod rings that contains the rings of type  $R = S/\mathfrak{p}^r$  for  $r \geq 3$ . First we need a lemma.

**Lemma 13.** *Let  $R = S/\Omega$ , where  $(S, \mathfrak{p})$  is a regular local ring and  $\Omega \subset \mathfrak{p}^r$  for some  $r \geq 2$ . Then  $BK \cap \mathfrak{m}^s K = d(\mathfrak{m}^{s-1} K) = \mathfrak{m}^{s-1} BK$  if  $s \leq r$ .*

**Proof.** Let  $L$  be the Koszul complex of  $S$ . Then  $K = L/\Omega L$ . Let  $\bar{x} \in BK \cap \mathfrak{m}^s K$ , where  $x \in \mathfrak{p}^s L$  and  $\bar{x}$  is its image in  $K$ . Then  $\bar{x} = d\bar{y}$  and hence  $dy - x \in \Omega L \cap \mathfrak{p}^r L$  and since  $s \leq r$  it follows that  $dy \in \mathfrak{p}^s L$  so that  $dy \in BL \cap \mathfrak{p}^s L = d(\mathfrak{p}^{s-1} L)$  by Lemma 1. Thus  $dy = dz$  for some  $z \in \mathfrak{p}^{s-1} L$  and we get  $\bar{x} = d\bar{y} = d\bar{z} \in \mathfrak{m}^{s-1} BK$ .  $\square$

**Remark.** If we put  $r = 2$  we get Serre's well-known result about the Koszul complex (cf. [7, p. IV-50]).

If  $\Omega$  above satisfies  $\mathfrak{p}^{2r-2} \subset \Omega \subset \mathfrak{p}^r$  one proves exactly in the same way as for  $S/\mathfrak{p}^r$  that  $R$  is a special Golod ring. This was first noticed by Löfwall (cf. [4]).

**Lemma 14.** *Let  $R = S/\Omega$ , where  $(S, \mathfrak{p})$  is a regular local ring and  $\mathfrak{p}^{2r-3} \subset \Omega \subset \mathfrak{p}^r$  for some  $r \geq 3$ . Then we may choose  $F''$  such that  $dV \cdot F''V = 0$ .*

**Proof.** We may assume that  $dV \subset m^{r-1}K$ . Let  $x$  be a basis element of  $V$ . Then  $Fdx \in ZK \cap m^{r-1}K$  and  $Fdx = du + a$ , where  $u \in V$  and  $a \in BK$ . Now  $du \in m^{r-1}K$  and hence  $a \in BK \cap m^{r-1}K = d(m^{r-2}K)$ . Consequently  $a = db$  for some  $b \in m^{r-2}K$  and we may choose  $F''x = -b$ . Therefore we can pick an  $F''$  such that  $F''V \subset m^{r-2}K$ . It follows that  $dV \cdot F''V \subset m^{r-1}K m^{r-2}K = m^{2r-3}K = 0$ .  $\square$

**Theorem 4.** *Let  $R$  be as in Lemma 14. Then  $[X_i, Y_j]$  is a linear combination of the  $Y_q$ 's; that is*

$$[\cdot, \cdot]: E^1 \otimes Y \rightarrow Y.$$

**Proof.** Let  $F, G$  correspond to  $X_i, Y_j$  as in Section 3. We may assume that  $F''$  is chosen as in Lemma 14 and hence that  $F = \bar{F}$ . Thus, if  $t \geq 2$

$$G \circ F(TV)_{|G|+1}^t \subset G(TV)_{|G|}^t = 0$$

by the very definition of  $G$ . This combined with Lemma 7 completes the proof.  $\square$

**Remark 1.** The exponent  $2r-3$  cannot be improved to  $2r-2$  as shown by  $R = \mathbf{k}[[x, y]]/(x^3, y^3, x^2y^2)$  ( $r=3, 2r-2=4$ ). Then

$$\begin{aligned} \text{Ext}_R(\mathbf{k}, \mathbf{k}) &= \mathbf{k}\langle X_1, X_2, Y_1, Y_2, Y_3, Z_1, Z_2 \rangle / [X_i, X_j] = 0, [Y_j, X_i] = 0, j = 1, 2, \\ & [Y_3, X_1] = -Z_1, [Y_3, X_2] = Z_2, [Z_1, X_1] = 0, [Z_2, X_2] = 0, \\ & [Z_2, X_1] = [Z_1, X_2] = [Y_2, Y_1] \end{aligned}$$

where the  $Y_i$ 's and  $Z_j$ 's have degree 2 and 3 respectively.

**Remark 2.** Also the ring in Remark after Theorem 3 has the property that the conclusion of Theorem 4 is false.

**Remark 3.** Let, for  $L \in \text{LIE}$ ,  $\text{Der } L$  be the derivations of  $L$ , in a graded sense, that furthermore satisfy  $f(\kappa x) = [f(x), x]$  (see [10]). Then  $\text{Der } L$  is a sub adjusted Lie algebra of  $\text{End}_{\mathbf{k}} L$ . Suppose that  $L', L'' \in \text{LIE}$  and that  $\phi: L' \rightarrow \text{Der } L'$  is a homomorphism in  $\text{LIE}$ . Then we define two structure maps on  $L' \oplus L''$  as follows

$$\begin{aligned} [(x', x''), (y', y'')] &= ([x', y'] + \phi(x'')(y') - (-1)^{x' \cdot y''} \phi(y'')(x'), [x'' y'']), \\ \kappa(x', x'') &= (\kappa x' + \phi(x'')(x'), x'') \quad \text{for } (x', x'') \text{ of odd degree,} \end{aligned}$$

and with this structure we denote it by  $L' \oplus_{\phi} L''$ , the semi-direct product of  $L'$  and  $L''$  via  $\phi$ . Every  $f \in \text{Der } L'$  may be uniquely extended to  $\bar{f} \in \text{Der } WL'$  ( $WL'$ , the enveloping algebra of  $L'$ , treated as an associative algebra) and this gives us a map

$$L'' \xrightarrow{\phi} \text{Der } L' \rightarrow \text{Der } WL' \rightarrow \text{End}_{\mathbf{k}} WL'$$

in LIE and hence an algebra homomorphism

$$\tilde{\phi}: WL'' \rightarrow \text{End}_{\mathbf{k}} WL'.$$

Thus  $WL'$  is a left  $WL''$ -module, and using  $\phi(L'') \subset \text{Der } L'$  one checks that the multiplication map  $WL' \otimes WL'' \rightarrow WL'$  is a map of left  $WL''$ -modules, and thus we may form the semi-tensor product  $WL' \odot_{\tilde{\phi}} WL''$  (cf. [6]). It is not hard to check that  $L' \oplus_{\phi} L'' \in \text{LIE}$  and that  $W(L' \oplus_{\phi} L'') = WL' \odot_{\tilde{\phi}} WL''$ . Let  $0 \rightarrow L' \rightarrow L \rightarrow L'' \rightarrow 0$  be an exact sequence in LIE. Then, as in the ordinary ungraded case, we see that this sequence splits to the right if and only if  $L$  is the semi-direct product of  $L'$  and  $L''$ . (Note that we have an extra Jacobi identity for adjusted Lie algebras, namely  $[x, [x, z]] + [z, \kappa x] = 0$  for  $x$  of odd degree.) Now assume that  $\psi: L \rightarrow \text{End}_{\mathbf{k}} X$  is a representation of  $L \in \text{LIE}$  on  $X$ , a graded vector space. Every  $f \in \text{End}_{\mathbf{k}} X$  can be uniquely extended to  $\tilde{f} \in \text{Der } LX$ . From this we see that  $\psi$  induces a map  $\tilde{\psi} \rightarrow \text{Der } LX$  in LIE so we may define  $LX \oplus_{\tilde{\psi}} L$  and we get  $W(LX \oplus_{\tilde{\psi}} L) = TX \odot_{\tilde{\psi}} WL$ . Let  $R$  be a local ring and let  $\tilde{Y} = \bigoplus_{n \geq 2} \tilde{P}_n E$ . Then we have an exact sequence in LIE.

$$0 \rightarrow \tilde{Y} \rightarrow PE \rightarrow E^1 \rightarrow 0$$

and this splits to the right if and only if the 1-dimensional elements commute (when this happens is described in Theorem 4 of [9]). If  $R$  is a Golod ring, then  $\tilde{Y} = LY$ , as was shown in Section 5. Let  $R$  be as in Theorem 4. Then we get a representation

$$\psi: E^1 \rightarrow \text{End}_{\mathbf{k}} Y$$

of the trivial adjusted Lie algebra  $E^1$  on  $Y$  given by  $\psi(x) = [x, \cdot]$  ( $\psi$  maps the  $X_i$ 's on strictly commutative endomorphisms of  $Y$ ). It follows that  $\tilde{P}E = LY \oplus_{\tilde{\psi}} E^1$ .

### 7. The Ext-algebra of $R = S/p^r$ (continued)

We keep the notations of Lemma 4. It is well-known that  $\text{Ext}_R(\mathbf{k}, \mathbf{k}) = T(E^1)$  if  $r = 2$  (cf. [3, p. 115]). This also follows from Theorem 3 combined with Theorem 4 of [9].

In the following we assume that  $r \geq 3$ . It may be shown by the technique used in this paper that the relations in  $\text{Ext}_R(\mathbf{k}, \mathbf{k})$  are as follows

$$[Y_{a,q}, X_j] = \sum \lambda_{b,t} Y_{b,t}$$

where  $\lambda_{b,t} = \pm 1$  or 0. Precisely, let  $(a, q) = (a_1, \dots, a_i; q_1, \dots, q_a)$ , then

$$[Y_{a,q}, X_j] = -Y_{(a_1, \dots, j, \dots, a_i; q_1, \dots, q_a)} \quad \text{if } j \notin a,$$

$$[Y_{a,q}, X_j] = 0 \quad \text{if } j \in a \text{ and } j \neq a_i,$$

$$[Y_{a,q}, X_{a_i}] = \sum (-1)^{s+i} Y_{(a_1, \dots, a_s, h, a_{s+1}, \dots, a_i, q_1, \dots, q_{h-1}, \dots, q_{a_i+1})},$$

where the sum is over  $h < a_i$ ,  $h \notin a$ ,  $q_h \geq 1$ .

It is probable that there exists a nicer coordinate-free presentation of this Lie algebra (cf. the not particularly nice presentation of  $\mathfrak{sl}(n, \mathbf{R})$  by generators and relations).

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